

Capital Markets Elite Group (UK) 3rd Floor, 107 Cannon Street, London, EC4N 5AF, United Kingdom

Terms and conditions – Free Stock Promotion Terms and Conditions

- Capital Markets Elite Group (UK) Limited ("the Firm", "we", "our", "us", "CapitalMEG") is authorized and regulated by the UK Financial Conduct Authority to carry on the business of a Broker-Dealer.
- Participation in the Free Stock promotion is bound by these terms and conditions ("Promotion Terms") and is therefore considered an annexure to the terms and conditions contained within your client agreement, including any supplemental agreements and disclosures that apply to your account.
- 3. Subject to and without prejudice to all other terms and conditions, this (1) promotion of FREE U.S. stock¹ valued at \$5-\$3000 USD is available to eligible new clients ("Eligible New Client") who are new customers of the Firm who successfully open an account with CapitalMEG during the promotion period and have elected to use the Cap it All platform¹.
 - a. Eligible New Clients must be (1) over 18 years of age (2) Must reside within the UK or EU (3) be approved to open a CapitalMEG account during the promotion period (4) Upon approval must make an initial deposit of \$150, Sterling or Euro equivalent ("Qualifying Deposit")ⁱⁱ into their CapitalMEG account during the period of the 1st April 2022 to 31st August 2022 ("the Promotion Period").
- 4. The Free Stock reward are NYSE and NASDAQ-listed shares in U.S.-based companies with a minimum market capitalization of \$2 billion. The Free Stock reward will be randomly assigned (as determined by an algorithm) from a share inventory maintained by CapitalMEG.

- This promotion is limited to no more than one account enrolled per customer and is not transferable or saleable to another account or exchanged for other financial services or benefits provided by CapitalMEG.
- 6. Customers who fund their new accounts with a BACS transfer are responsible for having enough cash in their bank account to cover the BACS transfer. CapitalMEG takes no responsibility for charges that occur due to insufficient funds in said customer's account.
- Neither receipt nor identification of a particular stock in communications related to this promotion constitutes a solicitation, recommendation, or investment advice. Consult with your tax advisor about the appropriate tax treatment for and any other tax implications associated with this promotion.
- 8. Employees, contractors, or persons similarly associated with CapitalMEG or its affiliate(s); members of their household; registered representatives of broker-dealers; employees of any security's regulatory organization or exchange; and/or employees of any market maker are not eligible. The promotion is only available for personal use and may not be used for commercial purposes. Other restrictions may apply. Void where prohibited.
- 9. The Eligible Client's accountⁱⁱⁱ must be open and not the subject of any dispute with us or in default on the date the payment becomes payable to qualify for this promotion.
- 10. Notwithstanding any other provision of these Promotion Terms, we shall have

 $^{^1}$ *Please note that broker to broker transfer of equity swaps will not be available, customer must liquidate position to facilitate the investment or withdrawal of funds.

the sole discretion to determine your eligibility under these Promotion Terms, including, without limitation, whether you are an Eligible New Client. We have the right not to accept Eligible New Client for any reason or without reason as we shall determine at our sole discretion.

- 11. We reserve the right at our reasonable discretion, to alter, amend or terminate this promotion, or any aspect of it, at any time and without prior notice. The Firm will not be responsible in any manner for any damages, losses, or expenses, however caused by the alteration, amendment, or termination of this promotion. We may make changes to these Promotion Terms by posting the modified terms on our website. We recommend you revisit these Promotion Terms regularly, and by your continued use of our services, you accept any such modified terms. If any term of these Promotion Terms is found to be illegal, invalid, or unenforceable under any applicable law, such term shall be deemed omitted from these Promotion Terms and shall in no way affect the legality, validity, or enforceability of the remaining terms.
- 12. Promotion Terms are governed by the laws of the United Kingdom. Any dispute or situation not covered by these Promotion Terms will be resolved by our management in a manner it deems to be the fairest to all concerned, and that decision shall be final and/or binding on all entrants.
- 13. We do not make foreign exchange trading available to anyone domiciled within the United States, any other "U.S. Persons" or anyone acting as a conduit for a U.S. Person. This promotion does not constitute an offer or solicitation to anyone in any jurisdiction where such an offer or solicitation is prohibited by the relevant local law, or to anyone to whom such a solicitation is prohibited by law.
- 14. This promotion is only available to UK and EU Clients who opt for the Cap It All platform.
- *This promotion only applies to non-leveraged accounts

It typically takes 1-2 business days for funds to be deposited into your account via wire transfer.

- 15. Transfers from another Capital Markets Elite Group account will not be deemed a Qualifying Deposit towards this promotion.
- 16. We do not offer services to Canadian residents or to persons using a Canadian bank account or a credit card issued by a Canadian financial institution.
- 17. Please note that foreign exchange and investing in complex instruments such as contracts for differences ("CFDs"), comes with a high risk of losing money which will significantly affect the value of your investments. Such trading may not be suitable for all investors therefore you should ensure that you understand the risks involved and seek independent advice if appropriate. This promotion has been prepared without considering your financial situation or needs.
- 18. By participating in the promotion, you release, discharge, and agree to indemnify and hold harmless Capital Markets Elite Group (UK) limited and its owner(s), affiliate(s), and/or subsidiaries, and all of their respective directors, officers, employees, members, managers, agents, licensees, contractors, representatives, successors, and assigns from and against any liability for any damages, injury or losses, to any person or property, of any kind resulting in whole or in part, directly or indirectly from acceptance, possession, misuse or use of any promotional reward or participation in the promotion.

[&]quot;The FREE U.S. stock valued up to \$3000 can only be claimed once your deposit settles

iii All accounts are currently held in US Dollars